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FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

1527-150

U.S. Application No. (if known, see 37 CFR 1.5)

10/019,662

INTERNATIONAL APPLICATION NO. PCT/MX00/00025

INTERNATIONAL FILING DATE June 30, 2000

PRIORITY DATE CLAIMED

July 2, 1999

TITLE OF INVENTION

COMBINED SYSTEM FOR REMOVING CONTAMINANTS FROM GAS EFFLUENTS

APPLICANT(S) FOR DO/EO/US

Juan Jose CALDERON DE LOS SANTOS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- 2. [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [ ] The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5. [ ] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [ ] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- [0] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

### ITEMS 11. TO 20. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. A FIRST preliminary amendment.
- 14. A SECOND or SUBSEQUENT preliminary amendment.
- 15. A substitute specification.
- 16. A change of power of attorney and/or address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
- 18. [ ] A second copy of the published international application under.
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)
- 20. [X] Other items or information: RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS; NOTIFICATION; COPY OF EXECUTED DECLARATION/POWER OF ATTORNEY: COPY OF STAMPED POSTCARD AND CHECK.

PRODUCE VED

U.S.	U.S. APPLICATION NO. (If known, see 37 CFR 1.50) 10/019, 662		INTERNATIONAL APPLICATION NO. PCT/MX00/00025		ATTORNEY DOCKET NO. 1527-150	
21.	21. [X] The following fees are submitted:  Basic National Fee (37 CFR 1.492)(a)(1)-(5):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and  International search Report Not Prepared by EPO or JPO. \$1,040.00  International preliminary examination fee (37 CFR 1.482) not paid t o USPTO  but International search Report has been prepared by the EPO or JPO. \$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO  but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$740.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO  but claims did not satisfy provisions of PCT Article 33(1)-(4). \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO  and all claims satisfied provisions of PCT Article 33(1)-(4). \$710.00				CALCULATIONS	PTO USE ONLY
	charge of \$120,00 for five	\$ \$130.00				
	nths from the earliest clai		eclaration later than [ ] CFR 1.492(e)).	20 [A ] 30	\$130.00	
ļ <u> </u>	Claims	Number Filed	Number Extra	Rate		
To	tal Claims	0 -20=		X \$	\$	
Ind	lependent Claims	0 - 3 =	L	X \$	\$	
Mı	Iltiple dependent claim(s)	(if applicable)		+ \$	\$	
ļ	<del></del>		TOTAL OF ABOVE CA	ALCULATIONS =	\$130.00	
X	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
		\$65.00				
	Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
, <u> </u>		\$65.00				
	for recording the enclose empanied by an appropri	\$				
<b></b>			TOTAL FE	ES ENCLOSED =	\$65.00	
200 MAL1 254		2 5.00 OP			Amount to be refunded	\$
	<del></del>				charged	\$
or ( SEN Cus G. F Rott 142:	a. X A check in the amount of \$65.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 02-2135 in the amount of \$					
	hington, D.C. 20005			Registration Numbe	r	

1527-150 GFR:gmb

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of BOX PCT

Juan Jose CALDERON DE LOS SANTOS )PCT/MX00/00025

Serial No. 10/019, 662 )International Filing Date:

)June 30, 2000

Filed: January 2, 2002

For: COMBINED SYSTEM FOR REMOVING CONTAMINANTS FROM GAS

**EFFLUENTS** 

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Box PCT Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to a Notification of Missing Requirements under 35 U.S.C. 371 dated March 13, 2002, copy attached, an executed Declaration and Power of Attorney identifying the above-referenced application by the International Filing Date and the International Application Number was submitted with the application on March 14, 2002. Attached are copies of the executed Declaration and Power of Attorney document the stamped postcard indicating the filing date, with the U.S. Patent and Trademark Office along with a copy of the Check for \$65.00.

Respectfully submitted,

By <u>b.f. Iwik</u>

G. Franklin Rothwell Attorney for Applicants Registration No. 18,125

ROTHWELL, FIGG, ERNST & MANBECK, p.c.

Suite 800, 1425 K Street, N.W.

Washington, D.C. 20005 Telephone: (202)783-6040

Enclosures: 2



Continuessioner for Patents, Box PCT United States Patent and Tradamark Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO.		FIRST NAMED APPLICANT		ATTY, DOCKET NO.		
10/019,662	Juan Jose Calderon De		e Los Santos	Los Santos 1527-150		
		BECEIVED/DOCKETING		INTERNATIONAL APPLICATION NO.		
	BECEIV			PCT/MX00/00025		
6449			I.A. FI	LING DATE	PRIORITY DATE	
ROTHWELL, FIGG, ERN 1425 K STREET, N.W.	ISY & MANBECI	ANBECK, P.C.		30/2000	07/02/1999	
SUITE 800 WASHINGTON, DC 2000	mail	18 2002 GF/2 527-150		CONFIRMATION NO. 6232 371 FORMALITIES LETTER *OC0000000007524368*		

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

1325/02 TWS

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/019,662	PCT/MX00/00025	1527-150

FORM PCT/DO/EO/905 (371 Formalities Notice)



12 mg 36

DOCKET NO 1527-150 INTER NO PCT/MX00/00025

SER NO. 10/019,682 INTER. FILED JUNE 20, 2000

APPLICANT: Juan Jose CALDERON DE LOS SANTOS

COMBINED SYSTEM FOR REMOVING CONTAMINANTS FROM GAS EFFLUENTS

THE PATENT OFFICE'S STAMP HEREIN IS ACKNOWLEDGMENT BY IT OF RECEIPT ON THE DATE STAMPED OF

FORM 1390; DECLARATION/POWER OF ATTORNEY,

FEE: Check # 2 7/04 for \$650.00 TYPIST'S INITIALS GMB ATTORNEY'S INITIALS GFR

DATE STAMP HERE:



**BOX PCT** 

RECEIVED **BEST AVAILABLE COPY** APR 2 2 2002 TC 1700

27104 SUNTRUST BANK WASHINGTON, DC ROTHWELL, FIGG, ERNST & MANBECK, P.C. A PROFESSIONAL CORPORATION 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005 15-52/540 03/14/2002 PAY TO THE ORDER OF COMMISSIONER OF PATENTS & TRADEMARKS \$65.00 DOLLARS Sixty Five Dollars and 00/100\*\*\* ROTHWELL, FIGG, ERNST & MANBECK a COMMISSIONER OF PATENTS & TRADEMARKS мемо #027104# #054000522# 206539517# ROTHWELL, FIGG, ERNST & MANBECK, P.C. 27104 03/14/2002 1527-150 GMB #27104 65.00 Late Declaration Surcharge Fee Date 03/14/2002 COMMISSIONER OF PATENTS & TRADEMARKS \$65.00